

**Tonga Ministry of Finance (MOF)  
Department of Statistic (TDOS)**

**DRAFT 1**

**ENVIRONMENTAL and SOCIAL COMMITMENT  
PLAN (ESCP)**

**Statistical Innovation and Capacity in Tonga  
P171377**

**September 2019**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Government of Tonga is seeking finance from the World Bank to implement the Statistical Innovation and Capacity in Tonga (SICT) Project (the **Project**), with the involvement of the Tongan Department of Statistics (TDS).
2. The implementing agency for this project is the TDS with support from the Tonga Central Services Unit (CSU). The activities under the project will be managed by a team led by the Government Statistician of Tonga. The preparation and fieldwork of the two HIES rounds will be led by the Resident Advisor. The Tonga CSU will provide implementation support in the areas of procurement, financial reporting, and environmental and social safeguards. The project will be implemented in accordance with the Environmental and Social Standards (ESSs) as outlined in the World Bank Environmental and Social Framework (ESF – 2018). This Environmental and Social Commitment Plan (ESCP) sets out material measures and actions, any specific documents or plans, timing and roles and responsibilities.
3. The Project is designed to improve the efficiency of Household Income and Expenditure Surveys (HIES) including; implementation of surveys, quality of data production, and accessibility to data. This will be undertaken by supporting the TDS to reduce the levels of data deprivation by adoption of improved methodologies and new technologies for data collection. The main beneficiaries of the project are the Tonga Department of Statistics and various stakeholders that use the HIES data for policy making and research.
4. The Project has already been assessed as having low risks as detailed in the Concept Environmental and Social Review Summary (ESRS – 28/06/2019 – Report No: ESRSC00681). Impacts associated with the Project are expected to be largely positive. Increased access to anonymized microdata will provide information and socioeconomic data to various stakeholders in the government, donor, academic, NGO, and civil society sectors, improving planning and decision making. The E&S risks associated with Project activities are expected to be limited and easily managed through project design and effective implementation. No physical works are proposed and the project does not involve analytical, advisory or other activities which could have or lead to downstream physical, social or environmental impacts. The collection, analysis, storage and sharing of anonymised data is unlikely to result in any significant E&S risks. Hence, no demand is expected for the Borrower's staff and capacity to further assess, manage and mitigate E&S impacts. The ESSs' that are considered relevant to the Project are as follows:
  - ESS1 Assessment and Management of Environmental and Social Risks and Impacts
  - ESS2 Labour and Working Conditions
  - ESS10 Stakeholder Engagement and Information Disclosures

ESS1, 3, 4,5,6,7,8 and 9 are therefore not relevant and are not discussed further in this document.

5. The Project will comply with the provisions of any other Environmental and Social (E&S) documents required under the ESF and referred to in this ESCP, including the Stakeholder Engagement Plan (SEP) and labour management plan (LMP), and the timelines specified in those E&S documents. A standard operating procedure (SOP) will also be prepared which will include (though not limited to) description of roles and responsibilities; provision of training and information sharing for staff; OHS procedures and incident reporting; monitoring, evaluation and reporting.

6. The Project is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in “1” above.
7. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the World Bank by the Ministry of Finance as required by the ESCP and the conditions of the legal agreement, and the World Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
8. As agreed by the World Bank and Tongan Ministry of Finance, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Ministry of Finance will agree to the changes with the World Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the World Bank and the Tongan Ministry of Finance. The Tongan MoF will promptly disclose the updated ESCP.
9. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Tongan MoF shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the World Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&amp;S documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism.</p>	<p>Monthly during data collection; otherwise quarterly.</p> <p>There are limited ESHS issues for this project, however stakeholder engagement and grievances will need to be actively managed and reported on</p>	TDS
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the World Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers including any incidents with regards to staff undertaking public consultation or household surveys. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the World Bank’s request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.</p>	<p>Within 1 week of the incident</p>	TDS
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>It is expected that given the limited E&amp;S risks that the PMU Project Manager will be able to manage any of the necessary activities that require Safeguards inputs and that no dedicated staff will be required for the management of Safeguards.</p>	Not applicable	PMU Project Manager
1.2	<p><b>ENVIRONMENTAL AND SOCIAL ASSESSMENT</b></p> <p>Given the low level of risks an ESMP will not be prepared. The risks that are identified will be managed through instruments developed under ESS 2 and ESS 10</p>	Not applicable	Not applicable
1.3	<p><b>MANAGEMENT TOOLS AND INSTRUMENTS</b></p> <p>It is determined that an environmental and social assessment will not be required for the implementation of the project therefore the instruments to be prepared will only include a Standard Operating Procedures (SOP) for staff</p>	Not applicable	PMU Project Manager, with support from safeguard advisor CSU as required.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
	and contractors, Labour Management Plan as detailed in 2.1 and Stakeholder Engagement Plan (SEP) as detailed in 10.1		
1.3	<p><b>MANAGEMENT OF CONTRACTORS</b></p> <p>Incorporate the relevant aspects of the ESCP in all contractor contracts. Thereafter ensure that the contractors comply with the ESHS specifications of their respective contracts.</p>	As required	PMU Project Manager
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT PROCEDURES</b></p> <p>Develop and implement Labour Management Procedures (LMP) compliant with Tongan Labour laws and ESS 2 and include them in contract conditions for contractors. The LMP will include terms and conditions for workers, non discrimination and equal opportunity, workers organisations, and prohibition of child and forced labor.</p>	1 month prior to engagement of any staff or contractors	PMU Project Manager with support from safeguard advisor CSU as required; approval by World Bank
2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b></p> <p>Establish, maintain, and operate a grievance mechanism for staff and contractors as described in the labour management plan and in conformance with ESS2</p>	1 month prior to engagement of any staff	PMU Project Manager with support from safeguard advisor CSU as required
2.3	<p><b>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</b></p> <p>Prepare, adopt, and implement occupational, health and safety (OHS) measures within the SOP that satisfy World Bank and Tongan regulations</p>	Within 1 month of establishment of the PMU.	PMU Project Manager with support from safeguard advisor CSU as required
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<p><b>STAKEHOLDER ENGAGEMENT PLAN (SEP) PREPARATION AND IMPLEMENTATION</b></p> <p>A draft SEP will need to be prepared, disclosed and implemented that will share information on when HIES will take place; how the data will be used; data collection process; who to contact for enquiries; clear consistent messaging for surveyors about the voluntary nature of the survey and purpose; communication channels to be used; roles and responsibilities. The SEP will consider the need of all stakeholder including those who may be considered vulnerable. The SEP should include the following sections:</p> <ol style="list-style-type: none"> <li>1. Introduction/Project Description</li> <li>3. Stakeholder identification and analysis, including description of any vulnerable groups.</li> <li>4. Stakeholder Engagement Program (including key messages)</li> </ol>	1 month prior to the undertaking any community consultation or survey activities.	PMU Project Manager with support from safeguard advisor CSU as required

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
	5. Resources and Responsibilities for implementing stakeholder engagement activities 6. Grievance Mechanism 7. Monitoring and Reporting		
10.2	<b>PROJECT GRIEVANCE MECHANISM</b> Prepare, adopt, maintain and operate a grievance redress mechanism (GRM), as described in the SEP. The GRM needs to be easily accessible to the community and disclosed prior to the commencement of data collection.	Within 1 month of establishment of the PMU	PMU Project Manager with support from safeguard advisor CSU as required.
<b>CAPACITY SUPPORT (TRAINING)</b>			
CS1	Undertake training for all field staff so that they are aware of the SEP and SOP for operating in the field. Training to include how to engage with stakeholders (including vulnerable groups) and how to manage grievances as detailed in the SEP, OH&S and emergency response.	Prior to any staff undertaking field activities	PMU Project Manager