

**IN: NATIONLA CYCLONE RISK MITIGATION PROJECT II
(P144726)**

I. General

1. **Bank's approval Date of the procurement Plan:** Original: May 21, 2015
2. **Date of General Procurement Notice:** May 5, 2015
3. **Period covered by this procurement plan:** The procurement period of project covered from Year May 5, 2015 to October 2016

II. Goods and Works and non-consulting services.

1. **Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

| | Procurement Method | Prior Review Threshold US\$ | Comments |
|----|---------------------------|--|-----------------|
| 1. | ICB (Goods) | <i>Above US\$ 2 million</i> | <i>All</i> |
| 2. | NCB (Goods) | <i>Above US\$ 2 million</i> | <i>All</i> |
| 3. | ICB (Works) | <i>Above US\$ 10 million</i> | <i>All</i> |
| 4. | NCB (Works) | <i>Above US\$ 10 million</i> | <i>All</i> |
| 5. | Services (non-consultant) | <i>Above US\$ 2 million</i> | <i>All</i> |
| 6. | LIB | <i>Above US\$ 30,000</i> | |

Procurement Methods and Threshold

| Category | Method of Procurement | Threshold (US\$ Equivalent) |
|-----------------------------------|-------------------------|--|
| Goods and Non-consultant services | ICB | >3,000,000 |
| | LIB | Wherever agreed by the Bank |
| | NCB | Up to 3,000,000 (with NCB conditions) |
| | Shopping | Up to 100,000 |
| | DC | As per Para 3.7 of the Bank Guidelines |
| | Force Account | As per Para 3.9 of Guidelines |
| | Framework Agreements | As per Para 3.6 of Guidelines |
| Works | ICB | >40,000,000 |
| | NCB | Up to 40,000,000 (with NCB conditions) |
| | Shopping | Up to 200,000 |
| | Force Account | As per Para 3.9 of Guidelines |
| | DC | As per Para 3.7 of Guidelines |
| | Community Participation | As per Para 3.19 of Guidelines |

Prior Review by the Bank. The Bank will prior review the following contracts:

- Works: All contracts more than US\$ 10.0 million equivalent;
- Goods: All contracts more than US\$ 2.0 million equivalent;
- Non-Consulting Services: All contracts more than US\$ 2.0 million equivalent;

The PMU shall prior review the first contract issued by each implementing agency, if the estimate of those contracts are below the prior review threshold value. The Bank will conduct prior review for only those contract whose estimate falls in prior review threshold value. In addition, the justifications for all contracts to be issued on LIB, single-source (>US\$ 30,000) or direct contracting (>US\$ 30,000) basis will be subject to Banks prior review. These thresholds are for the initial 18 months period and are based on the procurement performance of the project, these thresholds will be modified. In addition, the Bank will carry out an annual ex post procurement review of the procurement falling below the prior review threshold mentioned above.

All contracts below the specified prior review threshold value shall be subject to Post Procurement Review (PPR). For the avoidance of doubts, the Bank shall be entitled to conduct, at any time, independent procurement reviews of all the contracts financed under the Credit. The IA shall prepare a list of contract and submit it to the Bank for conducting PPR. The PPR will be conducted on annual basis. All contracts not covered under prior review will be subject to post review during supervision missions, and/or review by consultants to be appointed by the by Bank.

2. **Pre-qualification.** Not applicable
3. **Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines:** Not applicable
4. **Reference to Project Operational/Procurement Manual:** The Project Operations/Procurement Manual was prepared and agreed by the Bank to be used as guidance note while conducting project procurement. All the contracts issued under the project will follow the World Bank's Guidelines: Bank's "Guidelines: Procurement under IBRD Loans and IDA Credits" dated January 2011 and revised in July 2014 and "Guidelines: Selection and Employment of Consultants by World Bank Borrowers" dated January 2011 and revised in July 2014, In case of any inconsistency between the Project Operation/Procurement Manual, the Bank Guidelines shall prevail.
5. **Any Other Special Procurement Arrangements:** Procurement of goods, works and non-consulting services shall be conducted through e-procurement portal in all the participating states using Bank's standard bidding documents.

International Competitive Bidding (ICB) is the preferred method for procurement of goods, works and non-consulting services. However, it is unlikely that there will be any ICB contract. All National Competitive Bidding (NCB) shall be conducted in accordance with paragraph 3.3 and 3.4 of the Guidelines. In addition, following additional provisions as agreed with GOI task force (as amended form time to time) shall apply:

- Only the model bidding documents for NCB as agreed with the GoI Task Force (and as amended from time to time), shall be used for bidding;
- Invitation to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access along with an abridged version of the said advertisement published in a widely circulated national daily inter-alia giving the website/electronic portal details from which the details of the invitation to bid can be downloaded), at least 30 days prior to the deadline for the submission of bids;
- No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprise from any given State;
- Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder;
- For prior review contracts, extension of bid validity shall not be allowed with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank (i) for the first request for extension if it is longer than four weeks; and (ii) for all subsequent requests for extension irrespective of the period (such concurrence will be considered by Bank only in cases of Force Majeure and circumstance beyond the control of the Purchaser/Employer);
- For prior review contracts , re-bidding shall not be carried out with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank;
- The system of rejecting bids outside a pre-determined margin or “bracket” of prices shall not be used in the project;
- Rates contract entered into by Directorate General of Supplies and Disposal (DGS & D) will not be acceptable as a substitute for NCB procedures unless agreed with the Bank on case to case basis. Such contracts will be acceptable however, for any procurement under the Shopping procedure;
- Two or three envelop system will not be used (except when suing e-procurement system assessed and agreed by the Bank.

To meet the Bank's requirements for right to audit and F&C, these clauses may be included in the Purchase Orders, in case the purchasers are directly placing the purchase orders to DGS&D rate contract holders. On the other hand, if indent is placed through DGS&D, the Purchaser has the option to sign a separate undertaking with DGS&D rate contract holder, where Bank’s right to audit and F&C clauses could be mentioned;

- 6. Summary of the Procurement Packages planned during the first 18 months after project effectiveness:** Available in STEP.

III. Selection of Consultants

- 1. Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

| | Selection Method | Prior Review Threshold | Comment |
|----|-----------------------------|-------------------------------|----------------|
| 1. | Competitive Methods (Firms) | Above US\$ 1 million | All contract |
| 2. | Single Source (Firms) | Above US\$ 1 million | All contract |

| | | | |
|----|------------|--------------------|---------------|
| 3. | Individual | Above US\$ 300,000 | All contracts |
| 4. | CQS/LCS | Up to US\$ 300,000 | All contracts |

2. **Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than \$ 800,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.
3. **Any Other Special Selection Arrangements:** The implementation of component 1 (designating of Early Warning Development System) is foreseen as challenge as there are limited consulting firms in the market. M/s Telecommunications Consultant India Ltd. (TCIL) a government owned public sector has been designing EWDS system for Andhra Pradesh and Odisha under NCRMP I project. Many participating states have requested to single source designing of EWDS to TCIL as they do not have capacity to conduct selection process for such firm. NDMA suggested that while it will be an advantage to use TCIL in all the states, an option will be given to the respective states to either single source TCIL or choose competitive selection procedure to select such firms as reflected in the procurement plan in STEP.
4. **Consultancy Assignments with Selection Methods and Time Schedule Available on STEP.**

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|--|-------------|---|-------|-------------------------------------|-------------------------|--|--------------|------|---------------------------|------------|--|------------|------------|--|--|------------|------------|------------|------------|------------|
| IN-NDMA-31414-CS-QCBS / Selection of Consultant for Designing of Comprehensive National Earthquake Risk Mitigation Project (CNERMP) | IDA / 56930 | Technical Assistance for Multi-Hazard Risk Management | Post | Quality And Cost Based Selection | Open - International | | 430,000.00 | 0.00 | Canceled | 2017-10-13 | | 2017-11-03 | 2017-12-17 | | | 2018-01-14 | 2018-02-13 | 2018-03-20 | 2018-04-24 | 2019-10-16 |
| IN-NDMA-59365-CS-QCBS / Consulting Services for Designing a National Seismic Risk Mitigation Programme (NSRMP) in 8 States (Assam, Bihar, Himachal Pradesh, Jammu & Kashmir, Manipur, Meghalaya, Tripura and Uttarakhand) | IDA / 56930 | Technical Assistance for Multi-Hazard Risk Management | Prior | Quality And Cost Based Selection | Open - International | | 1,970,500.00 | 0.00 | Under Implementation | 2018-04-16 | | 2018-05-07 | 2018-06-20 | | | 2018-07-18 | 2018-08-17 | 2018-09-21 | 2018-10-26 | 2019-10-26 |
| IN-NDMA-70460-CS-QCBS / Consultancy Services for Developing Hydro- meteorological Resilient Action Plans (HnRAP) in Selected Urban Areas in India | IDA / 56930 | Technical Assistance for Multi-Hazard Risk Management | Post | Quality And Cost Based Selection | Open - National | | 836,575.00 | 0.00 | Under Implementation | 2019-01-21 | | 2019-02-11 | 2019-03-27 | | | 2019-04-24 | 2019-05-24 | 2019-06-28 | 2019-08-02 | 2020-08-01 |
| IN-NDMA-127158-CS-QCBS / Designing of Comprehensive Multi-hazard Risk Financing Strategy | IDA / 56930 | Technical Assistance for Multi-Hazard Risk Management | Post | Quality And Cost Based Selection | Open - International | | 388,285.00 | 0.00 | Pending Implementation | 2019-08-26 | | 2019-09-16 | 2019-10-30 | | | 2019-11-27 | 2019-12-27 | 2020-01-31 | 2020-03-06 | 2021-03-06 |

INDIVIDUAL CONSULTANTS

| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Contract Type | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Terms of Reference | | Invitation to Identified/Selected Consultant | | Draft Negotiated Contract | | Signed Contract | | Contract Completion | | |
|---|----------------------|-----------|-------------|--------|--------------------|---------------|----------------------------|-------------------------|----------------|--------------------|--------|--|--------|------------------------------|--------|-----------------|--------|---------------------|--------|--|
| | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | |
| | | | | | | | | | | | | | | | | | | | | |