

Kerala State Transport Project II (P130339)

I. General

1. **Bank's approval Date of the procurement Plan** *Revision of Updated Procurement Plan, 4 April 2017*
2. **Date of General Procurement Notice:** *Dec 24, 2010*
3. **Period covered by this procurement plan:** *The procurement period of project covered from year June 2016 to December 2018*

II. Goods and Works and non-consulting services.

1. **Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

	Procurement Method	Prior Review Threshold US\$	Comments
1.	ICB and LIB (Goods)	<i>Above US\$ 4,000, 000</i>	<i>All</i>
2.	NCB (Goods)	<i>Above US\$ 4,000, 000</i>	
3.	ICB (Works)	<i>Above US\$ 15,000,000</i>	<i>All</i>
4.	NCB (Works)	<i>Above US\$ 15,000,000</i>	<i>All</i>
5.	(Non-Consultant Services)	<i>Below US\$ 4,000,000</i>	<i>First contract</i>

2. **Prequalification.** *As applicable* in detailed items
3. **Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines:** *Not applicable*
4. **Reference to (if any) Project Operational/Procurement Manual:** *Not applicable.*
5. **Any Other Special Procurement Arrangements:**
NCB conditions for India are:

NCB will be conducted in accordance with paragraph 3.3 and 3.4 of the Guidelines and the following provisions:

- i. Only the model bidding documents for NCB agreed with the GOI Task Force (and as amended for time to time), shall be used for bidding;
- ii. Invitations to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access along with an abridged version of the said advertisement published in a widely circulated national daily inter-alia giving the website/electronic portal details from which the details of the invitation to bid can be downloaded), at least 30 days prior to the deadline for the submission of bids;
- iii. No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-

- owned enterprises, small-scale enterprises or enterprises from any given State;
- iv. Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder;
 - v. Extension of bid validity shall not be allowed with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank (i) for the first request for extension if it is longer than four weeks; and (ii) for all subsequent requests for extension irrespective of the period (such concurrence will be considered by Bank only in cases of Force Majeure and circumstances beyond the control of the Purchaser/ Employer)
 - vi. Re-bidding shall not be carried out with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank
 - vii. The system of rejecting bids outside a pre-determined margin or "bracket" of prices shall not be used in the project;
 - viii. Rate contracts entered into by Directorate General of Supplies and Disposals will not be acceptable as a substitute for NCB procedures. Such contracts will be acceptable however for any procurement under the Shopping procedures;
 - ix. Two or three envelope system will not be used (except when using e-Procurement system assessed and agreed by the Bank)

Other agreed provisions are:

- Any discount received from the bidders after bid submission deadline will not be considered either during the bid evaluation or the contract award.
- Bids received from foreign bidders will not be rejected under NCB.
- DGS&D rate contracts may be used as framework agreement (FA) provided:
 - i. Use of DGS&D rate contracts as FA must be reflected on the procurement plan agreed by the Bank for particular goods.
 - ii. Before issuing the purchasing order, the implementing agency will carry out a price analysis on the specific good that is intended to be purchased. If after this due diligence the implementing agency concludes (and Bank agrees) that the DGS&D rate contracts are more advantageous, DGS&D rate contracts may be used as FA.
 - iii. To meet the Bank's requirements for right to audit and F&C, these clauses may be included in the Purchase Orders (in case the purchasers are directly placing the purchase orders to DGS&D rate contract holders). On the other hand, if indent is placed through DGS&D, the Purchaser has the option to sign a separate undertaking with DGS&D rate contract holder, where Bank's right to audit and F&C clauses could be mentioned.
- Use of DGS&D Government e-Marketplace (GeM) (www.gem.gov.in) is permitted in lieu of Shopping as per following details:
 - a. Up to US\$ 30,000 in catalog mode (viz. any available item could be selected by implementing agencies).
 - b. Up to US\$ 100,000, provided there are at least 3 suppliers for the item on GeM and the Purchaser uses bidding or e-reverse auction among suppliers feature on GeM to discover the final price.

In both above cases, implementing agencies will record their assessment on reasonableness of price.

6. Summary of the Procurement Packages planned during the first 18 months after project effectiveness - [Uploaded in STEP.](#)

III. Selection of Consultants

1. **Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

	Selection Method	Prior Review Threshold	Comments
1.	Competitive Methods (Firms)	Above US\$ 2,000,000	
2.	Single Source (Firms)	Above US\$ 2,000,000	
3.	Individual	Above US\$ 400,000	

2. **Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than \$ [800,000](#) equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

3. **Any Other Special Selection Arrangements:** [Not applicable](#)

4. **Consultancy Assignments with Selection Methods and Time Schedule - - [Uploaded in STEP.](#)**

PROCUREMENT PLAN India : India Second Kerala State Transport Project

General Information
Country: India **Bank's Approval Date of the Original Procurement Plan:** 2016-06-10
Revised Plan Date(s): (comma delimited, leave blank if not) 2019-04-15
Project ID: P130339 **GFN Date:**
Project Name: India Second Kerala State Transport Project
Loan / Credit No: IBRD / 82540
Executing Agency(ies) Kerala State Transport Project

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	
WBR No. 5 [KSTP/PMT/UG-4] / Upgradation of Chengannur - Ettumanoor Road	IBRD / 82540		Prior	Request for Bids	Open - International	Single Stage - One Envelope		48,358,059.93	Signed																			
WBR No. 7 [KSTP/UG-6 - Amended] / Construction of Pookunnam - Thodupuzha Road	IBRD / 82540		Prior	Request for Bids	Open - International	Single Stage - One Envelope		36,391,470.00	Signed												2013-11-19						2014-05-05	
WBR No. 23 / KSTP-II-UG-III B - Upgradation of Kalarode (km 30 000) to Valavupara (km 55 200) of SH 30 Balance Works	IBRD / 82540		Prior	Request for Bids	Open - National	Single Stage - One Envelope		31,186,873.02	Signed																			
WBR No. 24 / III A - Upgradation of the Road from Thalassery(Km 1 200) to Kalarode (km 30 000) of SH 30-Balance works	IBRD / 82540		Prior	Request for Bids	Open - National	Single Stage - One Envelope		23,750,122.13	Signed																			
IN-KSTP-1770-CW-RFB / MC Road: Safe Corridor Demonstration Project- BC Overlay & Road Safety Works from Kuthakuttom to Adoor	IBRD / 82540		Prior	Request for Bids	Open - National	Single Stage - Two Envelope		21,684,787.32	Signed					2016-09-20		2016-09-20					2016-09-20					2016-10-25		2017-04-23
IN-KSTP-1299-CW-PPP / Upgradation and Maintenance of the Road from Punalur to Pookunnam under DBFOMT on Hybrid Annuity basis	IBRD / 82540		Prior	Public Private Partnership	Open - International	Multi Stage		0.00	Cancelled	2016-08-29		2016-10-15		2016-10-17		2016-08-29					2016-12-15				2017-01-28		2017-02-01	2017-02-01
IN-KSTP-64214-CW-RFB / Construction of Thiruvalla Bypass-Balance works	IBRD / 82540		Prior	Request for Bids	Open - National	Single Stage - One Envelope		0.00	Cancelled					2016-06-04		2016-06-09					2018-07-21				2018-08-20		2018-09-24	2019-03-23
IN-KSTP-64217-CW-RFB / Upgradation of road in Thiruvalla Town area- UG-IV B	IBRD / 82540		Prior	Request for Bids	Open - National	Single Stage - One Envelope		0.00	Cancelled					2016-06-11		2016-06-16					2018-07-28				2018-08-27		2018-10-01	2019-03-30
IN-KSTP-64594-CW-RFQ / PAP works on Ettumanoor-Moovattupuzha road	IBRD / 82540		Post	Request for Quotations	Open - National	Single Stage - One Envelope		0.00	Cancelled							2018-07-28									2018-09-22		2019-03-21	

2415/2014 / Consultancy Services for Community Participatory Road Safety and Asset Safeguard Program	IBRD / 82540		Prior	Quality And Cost-Based Selection	Open - International		0.00	Canceled	2016-09-28		2016-10-19		2016-12-02		2016-12-30		2017-01-29		2017-03-05		2017-04-09		2018-04-09
IN-KSTP-37079-CS-QCBS / Consultancy Services for Traffic Management and Road Safety Enforcement Program on the Safe Corridor Demonstration Project (SCDP)	IBRD / 82540		Post	Quality And Cost-Based Selection	Open - National		124,997.36	Signed	2017-11-17		2017-12-08		2018-01-21		2018-02-18		2018-03-20		2018-04-24		2018-05-29		2018-11-29
IN-KSTP-37161-CS-QCBS / Consultancy services for Institutional Strategy and Action Plan for Centre of Excellence for Kerala Road Sector across five identified Thematic areas	IBRD / 82540		Prior	Quality And Cost-Based Selection	Open - International		0.00	Canceled	2017-11-17		2017-12-08		2018-01-21		2018-02-18		2018-03-20		2018-04-24		2018-05-29		2018-11-29
IN-KSTP-37243-CS-QCBS / Consultancy services for Implementing Asset Management System for proposed Centre of Excellence	IBRD / 82540		Prior	Quality And Cost-Based Selection	Open - International		0.00	Canceled	2017-11-17		2017-12-08		2018-01-21		2018-02-18		2018-03-20		2018-04-24		2018-05-29		2018-11-29
IN-KSTP-37245-CS-QCBS / Project Management Consultancy Services in PMT of KSTP	IBRD / 82540		Prior	Quality And Cost-Based Selection	Open - International		0.00	Canceled	2017-11-17		2017-12-08		2018-01-21		2018-02-18		2018-03-20		2018-04-24		2018-05-29		2019-05-29
IN-KSTP-38060-CS-QCBS / Consultancy Services for Capacity Building of officials of PWD on RPCTLARR Act 2013	IBRD / 82540		Post	Quality And Cost-Based Selection	Open - International		0.00	Under Implementation	2017-11-23		2017-12-14		2018-01-27		2018-02-24		2018-03-28		2018-04-30		2018-06-04		2018-12-05
IN-KSTP-39195-CS-LCS / Consultancy Service for integrated labour laws and its compliances	IBRD / 82540		Post	Least Cost Selection	Open - National		0.00	Canceled	2017-12-18		2018-01-08		2018-02-21		2018-03-21		2018-04-20		2018-05-25		2018-06-29		2018-12-26
IN-KSTP-39215-CS-QCBS / Consultancy Services for Construction Supervision and Contract Management for Upgradation works	IBRD / 82540		Prior	Quality And Cost-Based Selection	Open - International		0.00	Canceled	2017-12-07		2017-12-28		2018-02-10		2018-03-10		2018-04-09		2018-05-14		2018-06-18		2019-06-18
KSTP/IE/2017 / Independent Engineer Services for PPP Modified Annuity Concession) Project - Pundoor -Ponkumam Road	IBRD / 82540		Prior	Quality And Cost-Based Selection	Open - International		0.00	Canceled	2017-03-01		2017-03-22		2017-05-05		2017-06-02		2017-07-02		2017-08-06		2017-09-10		2018-09-10
IN-KSTP-64153-CS-LCS / Road user satisfaction survey (RUSS) at mid term of implementation of KSTP- II(Periodical)	IBRD / 82540		Post	Least Cost Selection	Open - National		34,923.45	Signed	2018-06-04		2018-06-25		2018-08-08		2018-09-05		2018-10-05		2018-11-09		2018-12-14		2019-06-12
IN-KSTP-64212-CS-QCBS / Consultancy services for post construction technical audit	IBRD / 82540		Post	Quality And Cost-Based Selection	Open - National		238,761.07	Signed	2018-06-09		2018-06-30		2018-08-13		2018-09-10		2018-10-10		2018-11-14		2018-12-19		2019-12-19

IN-KSTP-64211-CS-QCBS / Selection of consultancy services for internal audit for the year 2018-19	IBRD / 82540		Post	Least Cost Selection	Open - National		6,719.16	Signed	2018-06-11	2018-07-02	2018-08-15				2018-09-12	2018-10-12	2018-11-16	2018-12-21	2019-06-19
IN-KSTP-88367-CS-QCBS / Project Management Consultancy Services in PMT of KSTP	IBRD / 82540		Post	Quality And Cost-Based Selection	Open - International		397,791.78	Signed	2018-11-27	2018-11-29	2018-11-29				2018-11-29	2018-12-29	2019-02-02	2019-03-09	2020-03-08
IN-KSTP-88371-CS-QCBS / Consultancy services for Institutional Strategy and Action Plan for Centre of Excellence for Kerala Road Sector across five identified Thematic areas	IBRD / 82540		Post	Quality And Cost-Based Selection	Open - International		268,225.77	Signed	2018-11-27	2018-11-29	2018-11-30				2018-11-30	2018-11-30	2019-01-04	2019-02-08	2020-02-08
IN-KSTP-98445-CS-QCBS / Authority's Engineer for EPC Package 8-A, 8-B and 8-C	IBRD / 82540		Prior	Quality And Cost-Based Selection	Open - National		0.00	Canceled	2019-02-15	2019-03-08	2019-04-21				2019-05-19	2019-06-18	2019-07-23	2019-08-27	2020-08-28
IN-KSTP-37076-CS-QCBS / Post Crash Emergency Management on the Safe Corridor Demonstration Project (SCDP)	IBRD / 82540		Post	Quality And Cost-Based Selection	Open - National		0.00	Canceled	2017-11-17	2017-12-08	2018-01-21				2018-02-18	2018-03-20	2018-04-24	2018-05-29	2018-11-29
IN-KSTP-38049-CS-QCBS / Consultancy Services establishing Road Accident Data Management System (RADMS) in the proposed Centre of Excellence and Public Works Department	IBRD / 82540		Prior	Quality And Cost-Based Selection	Open - International		0.00	Canceled	2017-11-23	2017-12-14	2018-01-27				2018-02-24	2018-03-28	2018-04-30	2018-06-04	2018-12-01
IN-KSTP-105388-CS-QCBS / KSTP-II Selection of Transaction Advisory Firm for Upgrading of Punalur-Pookkannam road under PPP (Hybrid Annuity)	IBRD / 82540		Post	Quality And Cost-Based Selection	Open - National		0.00	Pending Implementation	2019-02-15	2019-02-16	2019-02-18				2019-02-20	2019-02-21	2019-02-23	2019-02-25	2019-05-31
IN-KSTP-100213-CS-QCBS / Consultancy Services for Construction Supervision and Contract Management for Upgradation works	IBRD / 82540		Prior	Quality And Cost-Based Selection	Open - International		0.00	Pending Implementation	2019-02-28	2019-02-28	2019-03-02				2019-03-04	2019-03-06	2019-03-10	2019-03-15	2019-11-13
IN-KSTP-105666-CS-QCBS / Selection of Consulting Services for Authority's Engineer for Supervision of Upgrading Punalur to Pookkannam road (SH 8) from Km 0+000 to Km 82+173 (Package 8A, Package 8B & Package 8C) and selected four roads under Rebuild Kerala Initiative	IBRD / 82540		Prior	Quality And Cost-Based Selection	Open - International		0.00	Under Implementation	2019-03-16	2019-03-16	2019-04-29				2019-05-27	2019-06-28	2019-07-31	2019-09-04	2020-09-03
IN-KSTP-106839-CS-QCBS / Engagement Of Project Management Support Services (PMSS) for Resilient Kerala Development Program	IBRD / 82540		Post	Quality And Cost-Based Selection	Open - National		0.00	Under Implementation	2019-03-20	2019-03-20	2019-05-03				2019-05-31	2019-06-30	2019-08-04	2019-09-08	2020-09-07

IN-KSTP-106798-CS-QCBS / Engagement of Design And Construction Supervision Consultants (DSC) for LSGD roads in Kerala	HRD / 82540		Prior	Quality And Cost- Based Selection	Open - International		0.00	Pending Implementation	2019-04-08		2019-04-09		2019-05-23			2019-06-20		2019-07-20		2019-08-24		2019-09-28		2020-09-27
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INDIVIDUAL CONSULTANTS