Financing Agreement

(OECS Regional Health Project)

between

CARIBBEAN PUBLIC HEALTH AGENCY

and

INTERNATIONAL DEVELOPMENT ASSOCIATION
FINANCING AGREEMENT

AGREEMENT dated as of the Signature Date between CARIBBEAN PUBLIC HEALTH AGENCY ("Recipient") and INTERNATIONAL DEVELOPMENT ASSOCIATION ("Association"). The Recipient and the Association hereby agree as follows:

ARTICLE I — GENERAL CONDITIONS; DEFINITIONS

1.01. The General Conditions (as defined in the Appendix to this Agreement) apply to and form part of this Agreement.

1.02. Unless the context requires otherwise, the capitalized terms used in this Agreement have the meanings ascribed to them in the General Conditions or in the Appendix to this Agreement.

ARTICLE II — FINANCING

2.01. The Association agrees to extend to the Recipient a grant, which is deemed as Concessional Financing for purposes of the General Conditions, in the amount of four million eight hundred thousand Special Drawing Rights (SDR 4,800,000) ("Financing"), to assist in financing the project described in Schedule 1 to this Agreement ("Project").

2.02. The Recipient may withdraw the proceeds of the Financing in accordance with Section III of Schedule 2 to this Agreement.

2.03. The Maximum Commitment Charge Rate is one-half of one percent (1/2 of 1%) per annum on the Unwithdrawn Financing Balance.

2.04. The Payment Dates are March 15 and September 15 in each year.

2.05. The Payment Currency is Dollar.

ARTICLE III — PROJECT

3.01. The Recipient declares its commitment to the objectives of the Project. To this end:
(a) The Recipient shall carry out the Respective Parts of the Project in accordance with the provisions of Article V of the General Conditions and Schedule 2 to this Agreement;

(b) Parts 1.1(a), 1.2(a), 2.1(a), 2.2(a), 3 and 4 of the Project shall be carried out by the Participating Countries in accordance with the provisions of the respective Financing Agreements; and

(c) Parts 1.1(b), 1.2 (b) (ii), 2.2 (b) (ii) and 3 of the Project shall be carried out by OECS in accordance with the provisions of OECS Financing Agreement.

ARTICLE IV — EFFECTIVENESS; TERMINATION

4.01. The Additional Condition of Effectiveness consists of the following namely that the Financing Agreement of at least two of the Participating Countries and of OECS have been executed and all conditions precedent to the effectiveness of said Financing Agreements have been fulfilled.

4.02. The Effectiveness Deadline is the date ninety (90) days after the Signature Date.

4.03. For purposes of Section 10.05 (b) of the General Conditions, the date on which the obligations of the Recipient under this Agreement (other than those providing for payment obligations) shall terminate is twenty years after the Signature Date.

ARTICLE V — REPRESENTATIVE; ADDRESSES

5.01. The Recipient’s Representative is its Executive Director.

5.02. For purposes of Section 11.01 of the General Conditions:

(a) the Recipient’s address is:

16-18 Jamaica Boulevard
Federation Park
Port of Spain
Trinidad and Tobago; and

(b) the Recipient’s Electronic Address is:

Facsimile: 1-868-622-2792
E-mail: exedir@carpha.org

5.03. For purposes of Section 11.01 of the General Conditions:
(a) The Association's address is:

International Development Association
1818 H Street, N.W.
Washington, D.C. 20433
United States of America; and

(b) the Association's Electronic Address is:

Telex: Facsimile:
248423 (MCI) 1-202-477-6391
AGREED as of the Signature Date.

CARIBBEAN PUBLIC HEALTH AGENCY

By

[Signature]

Authorized Representative

Name: Dr. Joy St. John
Title: Executive Director
Date: 22/10/2019

INTERNATIONAL DEVELOPMENT ASSOCIATION

By

[Signature]

Authorized Representative

Name: Tahseen Sayed
Title: Country Director
    Latin America and Caribbean Region
Date: October 19, 2019
Schedule 1

Project Description

The objective of the Project is to improve preparedness capacities of health systems for public health emergencies in the OECS region.

The Project consists of the following parts:

Part 1: Improved Health Facilities and Laboratory Capacity

Strengthening the resilience and capacity of Selected Health Facilities and laboratories to provide services to manage a public health emergency, including an emerging disease outbreak, extreme weather event or other disaster through, inter alia:

1. Health Facilities Infrastructure and Referral Networks

(a) National Level

Upgrading of Selected Health Facilities to improve their resilience to climate change and extreme weather conditions through, inter alia, climate resilient and energy-efficient structural improvements, roofing, electrical safety, improvement in lighting, telecommunications, plumbing and water storage as well as the inclusion of ramps to facilitate access for people who are disabled.

(b) Regional Level

OECS:

Carrying out of an inventory of the emergency and critical care facilities (including information on human resources) in the Participating Countries and geographic information systems (GIS) mapping including, inter alia, the provision of equipment, technical assistance and training.

2. Laboratory Infrastructure and Capacity Building

(a) National Level

(i) expansion of laboratory services, including provision of equipment and reagents;

(ii) improvements in specimen handling and supply chain management;

(iii) technical support for laboratory data management systems and interoperability with health and surveillance information systems at
national and regional levels;

(iv) training and capacity building for laboratory services; and

(v) strengthening of laboratory quality management systems.

(b) Regional Level

(i) CARPHA:

(A) assessment of national laboratory capacity in the Participating Countries to provide the needed laboratory services;

(B) technical support to improve the link between national laboratory networks and the regional reference laboratory;

(C) enhancements to the regional reference laboratory technical capacity in order to provide the region with the necessary capacities in processing, diagnosing and confirming priority infectious diseases;

(D) improved technical capacity for laboratory safety and specimen transportation and related training; and

(E) implementation of a quality assurance program for the Participating Countries for the implementation of common standards for national laboratories, including policies, standard operating procedures and related training.

(ii) OECS:

(A) development of a system for pooled procurement for laboratory equipment, service contracts, and laboratory parts and reagents for the Participating Countries;

(B) development of laboratory specimen transportation agreements and related training; and

(C) development of mechanisms for laboratory quality improvement in Participating Countries.
Part 2: Strengthening Public Health Surveillance and Emergency Management

Strengthening public health preparedness, including surveillance and emergency response through improvement of national and regional capacities and promotion of cross-border collaboration through, *inter alia*:

1. Public Health Surveillance

(a) National Level:

(i) improvement of the information base for surveillance through training and investments in health information systems;

(ii) addressing points of vulnerability through improved surveillance in targeted areas and high-risk groups; and

(iii) support to Participating Countries’ vector control programs and when applicable food safety programs and implementation of the Frontline and Basic Field Epidemiology Training Program basic modules.

(b) Regional Level

(i) CARPHA:

(A) expansion and roll-out of the FETP intermediate and advance modules;

(B) improvements in surveillance reporting to and from the regional level, including development of protocols for communication and data sharing, and a regional dashboard; and

(C) development of an information and communication platform for surveillance and management including geographic information systems.

2. Emergency Management

(a) National Level

(i) development and/or updating of health emergency preparedness and response plans;
(ii) establishment, if and when applicable, of isolation facilities for response and containment capacity in the event of an outbreak;

(iii) setup and equipping of the Participating Countries’ health emergency response systems and/or operations centers such as satellite/radio communications and generators and related training; and

(iv) management of biomedical waste in Selected Countries.

(b) Regional Level

(i) CARPHA:

(A) development of regional preparedness and response action plans for public health emergencies (including simulation exercises) in Participating Countries;

(B) support to Participating Countries in their self-assessments of core capacities in laboratories, surveillance and emergency management carried out using the Joint External Evaluation tool, and development of a national action plan for health security; and

(C) establishment of a contingency emergency response funding mechanism.

(ii) OECS:

Development and implementation of coordination mechanisms for health emergency services for OECS countries to strengthen regional capacity to mobilize first responders and critical health supplies to affected areas, including inter alia, strengthening of systems, facilitating movement of patients and/or specialized health human resources and training on a minimal initial service package in sexual and reproductive health in the context of public health emergencies.

Part 3: Institutional Capacity Building, Project Management and Coordination

(i) Institutional capacity building in the areas of technical assistance for contract management, procurement, financial management, environmental and social safeguards, construction supervision and monitoring and evaluation, including audits for the Respective Parts of the Project.

(ii) Project management and coordination, equipping and operation of regional coordination platforms.
Part 4: Contingent Emergency Response Component (CERC)

Provision of support upon occurrence of an Eligible Emergency.
SCHEDULE 2

Project Execution

Section I. Implementation Arrangements

A. Institutional Arrangements

1. Regional Project Advisory Committee

The Recipient shall, not later than sixty days after the Effective Date establish and thereafter, convene with the frequency set forth in the POM, the Regional Project Advisory Committee, chaired by the Recipient and composed of two representatives from each of the Participating Countries, and one representative from OECS, and with a mandate and functions set forth in the POM, in form and substance satisfactory to the Association.

2. Project Implementation Unit

The Recipient, at all times until the completion of the Project, maintain a Project Implementation Unit (PIU) with staff, terms of reference, mandate and resources satisfactory to the Association; including a Project manager, a financial management specialist, and a procurement specialist, all with experience, qualifications, expertise and terms of reference acceptable to the Association.

B. Project Operations Manual

1. The Recipient shall carry out the Respective Parts of the Project in accordance with the POM.

2. Except as the Association shall otherwise agree, the Recipient shall not amend, waive or fail to enforce the POM, or any provision thereof.

3. In case of any conflict between the provisions of this Agreement and those of the POM, the provisions of this Agreement shall prevail.

C. Safeguards

The Recipient shall ensure that:

(a) all consultancies related to technical assistance, design and capacity building under the Respective Parts of the Project, the application of whose results could have environmental, social and health and safety implications, shall only be
undertaken pursuant to terms of reference reviewed and found satisfactory by the Association; and

(b) such terms of reference shall require the technical assistance, design and capacity building activities to take into account the requirements of the applicable Bank Safeguards Policies and EHS Guidelines.

D. Other Undertakings

The Recipient shall:

(a) serve as the lead technical entity to oversee the design, planning and technical assistance for the implementation of selected Regional Level Activities and identified in the POM;

(b) provide technical advice to the Participating Countries related to the national level activities with a regional dimension to be implemented by the Participating Countries, such as compliance with common standards for laboratories of Participating Countries; and

(c) (i) cooperate and coordinate with OECS as required for the implementation of the Regional Level Activities of the Project and to meet the Project objectives; and
(ii) inform the Association of the arrangements made to ensure such cooperation and coordination.

Section II. Project Monitoring, Reporting and Evaluation

The Recipient shall furnish to the Association each Project Report not later than forty-five days after the end of each calendar semester, covering the calendar semester.

Section III. Withdrawal of the Proceeds of the Financing

A. General

Without limitation upon the provisions of Article II of the General Conditions and in accordance with the Disbursement and Financial Information Letter, the Recipient may withdraw the proceeds of the Financing to finance Eligible Expenditures; in the amount allocated and, if applicable, up to the percentage set forth against each Category of the following table:
<table>
<thead>
<tr>
<th>Category</th>
<th>Amount of the Grant Allocated in SDR</th>
<th>Percentage of Expenditures to be Financed (inclusive of Taxes)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Goods, works, non-consulting services, consulting services, Training and Operating Costs for the Respective Parts of the Project</td>
<td>4,800,000</td>
<td>100%</td>
</tr>
<tr>
<td>TOTAL AMOUNT</td>
<td>4,800,000</td>
<td></td>
</tr>
</tbody>
</table>

**B. Withdrawal Conditions; Withdrawal Period**

1. Notwithstanding the provisions of Part A above, no withdrawal shall be made for payments made prior to the Signature Date, except that withdrawals up to an aggregate amount not to exceed SDR 240,000 equivalent may be made for payments made prior to this date but on or after June 13, 2019, for Eligible Expenditures.

2. The Closing Date is July 31, 2024.
APPENDIX

Section I. Definitions

1. “Anti-Corruption Guidelines” means, for purposes of paragraph 5 of the Appendix to the General Conditions, the “Guidelines on Preventing and Combating Fraud and Corruption in Projects Financed by IBRD Loans and IDA Credits and Grants”, dated October 15, 2006 and revised in January 2011 and as of July 1, 2016.

2. “Caribbean Community” means the community established by the Revised Treaty of Chaguaramas Establishing the Caribbean Community signed on 4 July 2001 at Nassau, The Bahamas.

3. “CARPHA” means the Caribbean Public Health Agency established as an institution of the Caribbean Community pursuant to the agreement signed by members of the Caribbean Community in July 2011.

4. “Category” means the category set forth in the table in Section III.A of Schedule 2 to this Agreement.


6. “Eligible Emergency” means the imminent or actual occurrence of a natural or man-made crisis or disaster, which, in the opinion of the Association, has the capacity to cause major adverse health impacts in any of the Participating Countries’ population.

7. “Frontline and Basic Field Epidemiology Training Program” or “FETP” means the program described in the Recipient’s website at http://carpha.org/What-We-Do/FELTP/Introduction.


10. “OECS” means the Organisation of the Eastern Caribbean States established under the Treaty of Basseterre establishing the Organisation of Eastern Caribbean

11. "OECS Financing Agreement" means the agreement for the OECS Regional Health Project to be entered into between OECS and the Association for the financing of the OECS Respective Parts of the Project.

12. "Operating Costs" means the reasonable incremental expenses incurred in connection with Project implementation, including consumable materials and supplies, utilities, fuel and oil, communications, administrative fees, surveys and census costs, mass media and printing services, vehicle rental, operation and maintenance, charges for the opening and operation of bank accounts required for the Respective Parts of the Project, travel, lodging and *per diems*, but excluding salaries of officials of the Recipient's staff.


14. "PIU" means the unit referred to in Section I. A 2 of Schedule 2 to this Agreement.

15. "Procurement Regulations" means, for purposes of paragraph 87 of the Appendix to the General Conditions, the "World Bank Procurement Regulations for IPF Borrowers" dated July 2016, revised November 2017 and August 2018.

16. "Project Operations Manual" or "POM" means the manual referred to in Section I.B of Schedule 2 to this agreement, approved by the Association on June 19, 2019, as the same may be amended from time to time with the prior written approval of the Association.

17. "Regional Level Activities" means Parts 1.1(b), 1.2(b), 2.1(b) and 2.2(b) of the Project.

18. "Regional Project Advisory Steering Committee" means the committee referred to in Section I.A.1. of Schedule 2 to this Agreement.

19. "Respective Parts of the Project" means for:

(a) the Recipient: Parts 1.2 (b) (i), 2.1 (b) (i), 2.2 (b) (i) and 3 of the Project;

(b) the Participating Countries: Parts 1.1(a), 1.2(a), 2.1(a), 2.2(a), 3 and 4 of the Project to be carried within the jurisdiction of each Participating Country; and

(c) for OECS: Parts 1.1(b), 1.2 (b) (ii), 2.2 (b) (ii) and 3 of the Project.

21. "Selected Countries" means the Commonwealth of Dominica and Saint Vincent and the Grenadines and any other Participating Country as the Association may agree.

22. "Selected Health Facilities" means the facilities located in each of the Participating Countries in the numbers and locations set forth in the Project Operations Manual or such other facilities as may be agreed by the Association.

23. "Signature Date" means the later of the two dates on which the Recipient and the Association signed this Agreement and such definition applies to all references to "the date of the Financing Agreement" in the General Conditions.

24. "Training" means the training of persons under the Project, including through seminars, workshops, knowledge sharing activities and study tours, and covers the following costs associated with such activity: travel and subsistence costs for training participants, costs associated with securing the services of trainers, rental of training facilities, preparation and reproduction of training materials and other costs directly related to training preparation and implementation.