Public Disclosure Authorized

Integrated Safeguards Data Sheet
Restructuring Stage

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I. BASIC INFORMATION

1. BASIC PROJECT DATA

Project ID P155978	Project Name FCPF Capacity Building on REDD+ for Civil Society and Local Communities in LAC Project
Task Team Leader(s) Kennan W. Rapp	Country Latin America
Approval Date 19-May-2016	Environmental Category Not Required (C)
Managing Unit GSU04	Is this a Repeater project?

PROJECT FINANCING DATA (IN USD MILLION)

Total Project Cost 399,480.00	Total Financing 399,480.00
Financing Gap 0.00	

Financing Source	Amount
Trust Funds	399,480.00
Total	**399,480.00**

2. PROJECT INFORMATION

Current Project Development Objective

The Project Development Objective (PDO) is to strengthen: (i) the knowledge of targeted civil society □organizations and local communities of REDD+ Readiness at the national level, and (ii) □□related knowledge □□exchange at the regional level in eligible FCPF countries in Latin America and the Caribbean.

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3. PROJECT DESCRIPTION

The development objective of the FCPF Capacity Building Program on REDD+ for Civil Society and Local Communities in LAC project (P155978, TF0A2339) is to strengthen: (i) the knowledge of targeted civil society organizations and local communities of REDD+ Readiness at the national level, and (ii) related knowledge exchange at the regional level in Latin America and the Caribbean. It is financed under the Forest Carbon Partnership Facility (FCPF) Capacity Building Program (CBP) in its 2nd phase, which was set up to ensure the active engagement and participation of REDD+ relevant stakeholders, including civil society organizations (CSOs) and local communities (LCs) from FCPF participating countries in the Latin America and the Caribbean (LAC), sub-Sahara Africa and Asia-Pacific regions. The two-year (2016-2018) project is financed by a Small Recipient Executed Trust Fund (SRETF) grant of **US$399,480**. The beneficiaries of this project are CSOs and LCs in the 17 FCPF countries in LAC (**Argentina, Belize, Chile, Colombia, Costa Rica, Dominican Republic, El Salvador, Guatemala, Guyana, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, Suriname and Uruguay**), which are eligible to participate in REDD+ related regional learning and exchange activities under Component 2.[1] Of the 17 countries, CSOs and LC from 11 countries (**Chile, Colombia, Costa Rica, Dominican Republic, El Salvador, Guatemala, Guyana, Honduras, Nicaragua, Suriname and Uruguay**) have also been eligible to participate in national-level REDD+ capacity building activities through a competitive sub-project mechanism under Component 1. The project is being implemented by the Central America Indigenous and Peasant Coordination Association for Community Agroforestry (ACICAFOC), which is located in San José, Costa Rica.

This SRETF project is on track to meet its PDO. As a result, a scale-up of activities will be sought by extending the Closing Date to end-June 2020, adding a handful of newly eligible countries, and modifying the Results Framework slightly. Additional Financing in the amount of US$984,211 is also being sought. Up to now, safeguards performance in the project has been fully satisfactory. Just like the current project, the restructured project does not trigger any safeguard policies, nor will it involve physical investments resulting in on-the-ground impacts.

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4. PROJECT LOCATION AND SALIENT PHYSICAL CHARACTERISTICS RELEVANT TO THE SAFEGUARD ANALYSIS (IF KNOWN)

Capacity building activities under the project are being carried out in specific FCPF member countries throughout Latin America & the Caribbean, as well as at the regional level.

5. ENVIRONMENTAL AND SOCIAL SAFEGUARDS SPECIALISTS ON THE TEAM

[1] REDD+ stands for countries' efforts to reduce emissions from deforestation and forest degradation, while promoting conservation, sustainable management of forests, and enhancement of forest carbon stocks.

Kimberly Vilar, Social Safeguards Specialist
Tuuli Johanna Bernardini, Environmental Safeguards Specialist

6. SAFEGUARD POLICIES TRIGGERED

Safeguard Policies	Triggered	Explanation
Environmental Assessment (OP) (BP 4.01)	No	Given that no physical footprint is associated with the project, no environmental impacts are expected, hence the explanation that is usually provided about the application of screening and follow-up procedures for the management of potential environmental impacts is not required in this case.
Natural Habitats (OP) (BP 4.04)	No	Since there are no activities that will directly impact natural habitats, screening and follow up procedures are not required.
Forests (OP) (BP 4.36)	No	Since there are no direct and/or physical impacts on forests, no screening and follow up procedures are required.
Pest Management (OP 4.09)	No	None of the activities that would be supported by the project are expected to involve the procurement or a substantial increase in the use of pesticides. This would be specified in an exclusion list.
Physical Cultural Resources (OP) (BP 4.11)	No	This policy is not triggered because the project is not expected to support any activities having an adverse impact on physical cultural resources.
Indigenous Peoples (OP) (BP 4.10)	No	OP/BP 4.10 is not triggered given that the direct beneficiaries of the project will explicitly be non-indigenous rural/peasant communities and organizations, in order to complement the grant that is being funded in parallel for the benefit of indigenous peoples.
Involuntary Resettlement (OP) (BP 4.12)	No	None of the activities that would be supported by the project are expected to involve physical displacement and resettlement. They are also not expected to involve the involuntary restriction of community access to natural resources in legally designated parks or protected areas. This would be specified in an exclusion list.
Safety of Dams (OP) (BP 4.37)	No	None of the activities that would be supported by the project are expected to involve the construction or operation of new or existing dams.
Projects on International Waterways (OP) (BP 7.50)	No	This policy is not triggered because the project is not expected to support any activities having

		adverse impacts on the efficient utilization and protection of international waterways.
Projects in Disputed Areas (OP) (BP 7.60)	No	This policy is not triggered because the project will not support activities in disputed areas.

II. KEY SAFEGUARD POLICY ISSUES AND THEIR MANAGEMENT

A. SUMMARY OF KEY SAFEGUARD ISSUES

1. Describe any safeguard issues and impacts associated with the Restructured project. Identify and describe any potential large scale, significant and/or irreversible impacts.

This project provides for the delivery of the simplest and most benign form of TA imaginable (training workshops, dialogues/ meetings, and learning-oriented studies), and does not have any physical footprint. Moreover, it is being coordinated by a regional CSO for the benefit of in-country CSOs and forest-dependent local communities (LC) that are looking to have their capacities enhanced in relation to REDD+, and thereby focuses on rural populations that will not be covered by the project's sister grant, which focuses exclusively on indigenous peoples organizations. As in the current project, no environmental or social safeguard polies apply in the restructured project.

2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area.

None.

3. Describe any potential alternatives (if relevant) considered to help avoid or minimize adverse impacts.

None.

4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described.

As the project involves on-granting at a very modest level to in-country CSOs and LC organizations, ACICAFOC as the Recipient Organization applies an exclusion or "negative" list to all sub-project proposals received, to ensure that they do not run afoul of any WB safeguard policy provisions. Members of the project secretariat in ACICAFOC have received basic training in WB safeguard policies and procedures.

5. Identify the key stakeholders and describe the mechanism for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people.

The beneficiaries of this project are CSOs and LCs in the 17 FCPF member countries in LAC, all of which are eligible to participate in REDD+-related regional learning and exchange activities under the project's Component 2. Of these 17 countries, CSOs and LCs from 11 countries have also been eligible to participate in national-level REDD+ capacity building activities through a competitive sub-project mechanism under the project's Component 1. As part of the restructured project, organizations from four additional countries--Argentina, Belize, Panama and Paraguay--will also be eligible for support under Component 1.

While preparing its proposal for the restructured project, ACICAFOC has reached out to like CSOs and LC organizations throughout the region, to solicit insights and ideas for the restructured project, while making clear that the basic purpose of the restructuring would be to allow it to support a greater number of organizations in a greater number of REDD+ countries (i.e. to scale up existing project activities). This outreach has been accomplished via multiple means: e-mails, phone calls, Skype calls and face-to-face meetings.

B. COMPLIANCE MONITORING INDICATORS AT THE CORPORATE LEVEL

The World Bank Policy on Disclosure of Information	
Have relevant safeguard policies documents been sent to the World Bank for disclosure?	NA
Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs?	NA

All Safeguard Policies	
Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?	NA
Have costs related to safeguard policy measures been included in the project cost?	NA
Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?	NA
Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?	NA

III. APPROVALS

Task Team Leader(s)	Kennan W. Rapp

Approved By		
Safeguards Advisor		
Practice Manager/Manager		

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