Implementation Status & Results
Brazil
SWAp for Parana Multi-sector Development Project (P126343)

Operation Name: SWAp for Parana Multi-sector Development Project (P126343)
Project Stage: Implementation
Seq.No: 4
Status: ARCHIVED
Archive Date: 17-May-2014

Country: Brazil
Approval FY: 2013
Region: LATIN AMERICA AND CARIBBEAN
Lending Instrument: Specific Investment Loan

Key Dates
Board Approval Date 06-Nov-2012
Original Closing Date 30-Nov-2017
Planned Mid Term Review Date 31-Jul-2015
Last Archived ISR Date 06-Nov-2013

Effectiveness Date 13-Jan-2014
Revised Closing Date 30-Nov-2017
Actual Mid Term Review Date

Project Development Objectives
The objective of the Project is to make access to economic and human development opportunities more equitable and environmentally sustainable in the Borrower’s territory through the modernization of the Borrower’s public sector and revenue management.

Has the Project Development Objective been changed since Board Approval of the Project?
○ Yes  ○ No

Component(s)
Component Name
Component 1: Fostering equitable and environmentally sustainable economic and human development
Component 2: Technical Assistance for more efficient and effective public management

Component Cost
315.00
35.00

Overall Ratings
Component Name
Progress towards achievement of PDO
Overall Implementation Progress (IP)
Overall Risk Rating

Previous Rating
Satisfactory
Moderately Satisfactory
Moderate

Current Rating
Satisfactory
Satisfactory
Moderate

Implementation Status Overview
This project was signed in December 2013 and declared effective in January 2014. The implementation of Component 1 is satisfactory and the implementation of the technical assistance activities under Component 2 has been initiated. The retroactive financing of US$50 million has been disbursed.

Locations
<table>
<thead>
<tr>
<th>Indicator Name</th>
<th>Core</th>
<th>Unit of Measure</th>
<th>Baseline</th>
<th>Current</th>
<th>End Target</th>
<th>Date</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Revenue from tax arrears as a share of the stock of tax arrears</strong></td>
<td></td>
<td>Percentage</td>
<td>Value</td>
<td>0.50</td>
<td>1.20</td>
<td>15-Jun-2012</td>
<td>31-Dec-2013</td>
</tr>
<tr>
<td><strong>Producer groups supported through the project with improved access and integration to output markets</strong></td>
<td></td>
<td>Percentage</td>
<td>Value</td>
<td>0.00</td>
<td>60.00</td>
<td>15-Jun-2012</td>
<td>31-Dec-2013</td>
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<tr>
<td><strong>Improved Identification of Disaster Risks</strong></td>
<td></td>
<td>Percentage</td>
<td>Value</td>
<td>5.00</td>
<td>100.00</td>
<td>15-Jun-2012</td>
<td>31-Dec-2013</td>
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<tr>
<td><strong>Survival rate in the final cycle of fundamental education in State Schools</strong></td>
<td></td>
<td>Percentage</td>
<td>Value</td>
<td>78.40</td>
<td>83.00</td>
<td>15-Jun-2012</td>
<td>31-Dec-2013</td>
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<tr>
<td><strong>Decrease in Maternal Mortality Ratio</strong></td>
<td></td>
<td>Percentage</td>
<td>Value</td>
<td>63.80</td>
<td>47.20</td>
<td>31-Dec-2010</td>
<td>31-Dec-2013</td>
</tr>
</tbody>
</table>
Comments: The indicator measures the percentage decrease in respect to the baseline, (per 100,000 live births)
As the end target has already been met, this indicator will be reviewed during mid-term review.
Decrease of 26.8% in respect to the baseline.

### Intermediate Results Indicators

<table>
<thead>
<tr>
<th>Indicator Name</th>
<th>Core</th>
<th>Unit of Measure</th>
<th>Baseline</th>
<th>Current</th>
<th>End Target</th>
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</thead>
<tbody>
<tr>
<td>Number of Farmers trained or receiving technical assistance</td>
<td></td>
<td>Number</td>
<td>Value</td>
<td>Date</td>
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<td></td>
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<td></td>
<td>0.00</td>
<td>15-Jun-2012</td>
<td>31-Dec-2013</td>
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<td>Comments</td>
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<td>9,785.00</td>
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<tr>
<td>9,785 Farmers have received assistance and 13,336 participated in training activities. The later includes repetitions, as one farmer might have participated in several trainings.</td>
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<tr>
<td>Date</td>
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<td>31-Dec-2013</td>
<td>22-Aug-2016</td>
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<td>Comments</td>
<td></td>
<td></td>
<td>22-Aug-2016</td>
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<tr>
<td>Number of business proposals approved and to be financed</td>
<td></td>
<td>Number</td>
<td>Value</td>
<td>Date</td>
<td>End Target</td>
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<td></td>
<td></td>
<td></td>
<td>0.00</td>
<td>15-Jun-2012</td>
<td>31-Dec-2013</td>
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<td>Comments</td>
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<td>0.00</td>
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<tr>
<td>A Technical Support and Evaluation Group (Grupo Técnico de Apoio e Avaliação) for the business proposals has been formally established.</td>
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<td>Date</td>
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<td>31-Dec-2013</td>
<td>22-Aug-2016</td>
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<td>Comments</td>
<td></td>
<td></td>
<td>22-Aug-2016</td>
<td></td>
<td></td>
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<tr>
<td>Number of Micro-catchment actions plans elaborated</td>
<td></td>
<td>Number</td>
<td>Value</td>
<td>Date</td>
<td>End Target</td>
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<td></td>
<td></td>
<td></td>
<td>0.00</td>
<td>15-Jun-2012</td>
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<td>Comments</td>
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<td>99.00</td>
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<td>DLI; Measuring cumulative number of action plans</td>
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<td>Date</td>
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<td>31-Dec-2013</td>
<td>22-Aug-2016</td>
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<td>Comments</td>
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<td></td>
<td>22-Aug-2016</td>
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<td>Strengthening of the Disaster Risk Monitoring capacity and Warning System for Hydro-meteorological events</td>
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<td>Text</td>
<td>Value</td>
<td>Date</td>
<td>End Target</td>
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<td></td>
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<td>31-Dec-2013</td>
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<td>Comments</td>
<td></td>
<td></td>
<td>No data yet</td>
<td></td>
<td>Monitoring System and Warning System operational</td>
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<td>Date</td>
<td></td>
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<td>31-Dec-2013</td>
<td>22-Aug-2016</td>
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<td>Comments</td>
<td></td>
<td></td>
<td>22-Aug-2016</td>
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<tr>
<td>Number of regional one stop shops for access to environmental licensing services installed</td>
<td></td>
<td>Number</td>
<td>Value</td>
<td>Date</td>
<td>End Target</td>
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<td></td>
<td></td>
<td></td>
<td>0.00</td>
<td>15-Jun-2012</td>
<td>31-Dec-2013</td>
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<td>Comments</td>
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<td>DLI; targets calculated cumulative</td>
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<td>Type</td>
<td>Value 1</td>
<td>Value 2</td>
<td>Value 3</td>
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<td>Average number of days for the delivery of water use rights and</td>
<td>Number</td>
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<td>90.00</td>
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<td>environmental licenses for agribusinesses in the Toledo Region</td>
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<td>15-Jun-2012</td>
<td>31-Dec-2013</td>
<td>22-Aug-2016</td>
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<td></td>
<td>Comments</td>
<td>No data yet.</td>
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<td>Student Learning Assessment System operational</td>
<td>Text</td>
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<td>3 Assessment</td>
<td>Third Assessment</td>
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<td></td>
<td>Date</td>
<td>15-Jun-2012</td>
<td>carried out and</td>
<td>carried out.</td>
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<td>results of 2</td>
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<td></td>
<td>distributed</td>
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<td>Date</td>
<td>15-Jun-2012</td>
<td>31-Dec-2013</td>
<td>22-Aug-2016</td>
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<td>Comments</td>
<td>DLI</td>
<td>The system has</td>
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<td>been implemented.</td>
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<td>assessment has</td>
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<td>out in November</td>
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<td>2012, April</td>
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<td>2013, and</td>
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<td>November 2013.</td>
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<td>The results</td>
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<td>were published,</td>
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<td>distributed to</td>
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<td>the parents and</td>
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<td>discussed with</td>
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<td>teachers in</td>
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<td>workshops.</td>
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<td></td>
<td>Date</td>
<td>15-Jun-2012</td>
<td>31-Dec-2013</td>
<td>22-Aug-2016</td>
<td></td>
</tr>
<tr>
<td>Teachers participating in Peer-to-peer in-service Teacher Training</td>
<td>Percentage</td>
<td>57.00</td>
<td>95.00</td>
<td>90.00</td>
<td></td>
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<td></td>
<td>Date</td>
<td>15-Jun-2012</td>
<td>31-Dec-2013</td>
<td>22-Aug-2016</td>
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<td>Comments</td>
<td>DLI</td>
<td>This indicator</td>
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<td>has already</td>
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<td>surpassed its</td>
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<td></td>
<td></td>
<td>final target.</td>
<td></td>
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<tr>
<td>University-based in-service Teacher Training, Education Development</td>
<td>Number</td>
<td>2351.00</td>
<td>1795.00</td>
<td>7830.00</td>
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<tr>
<td>Plan (EDP)</td>
<td>Date</td>
<td>15-Jun-2012</td>
<td>31-Dec-2013</td>
<td>22-Aug-2016</td>
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<tr>
<td>State Schools Rehabilitated and/or Expanded</td>
<td>Number</td>
<td>92.00</td>
<td>73.00</td>
<td>340.00</td>
<td></td>
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<tr>
<td></td>
<td>Date</td>
<td>15-Jun-2012</td>
<td>31-Dec-2013</td>
<td>22-Aug-2016</td>
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<td></td>
<td>Comments</td>
<td>DLI</td>
<td>92 schools are</td>
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<td>currently in the</td>
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<td></td>
<td></td>
<td>process of</td>
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<td>rehabilitation</td>
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<td></td>
<td></td>
<td>and/or expansion</td>
<td></td>
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<tr>
<td>Percentage of pregnant women identified with high risk of complications</td>
<td>Percentage</td>
<td>5.50</td>
<td>50.20</td>
<td>50.00</td>
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<tr>
<td>referred by primary care units to a hospital that is part of the</td>
<td>Date</td>
<td>15-Jun-2012</td>
<td>31-Dec-2013</td>
<td>22-Aug-2016</td>
<td></td>
</tr>
<tr>
<td>Borrower’s Infant and Maternal Healthcare Network</td>
<td>Comments</td>
<td>DLI</td>
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<td>includes only</td>
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<td>schools that</td>
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<td>were either</td>
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<td>rehabilitated or</td>
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<td>expanded and</td>
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<td>have obtained a</td>
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<td>completion</td>
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<td>certificate</td>
<td></td>
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<tr>
<td>Proportion of live births to pregnant women who attended more than 7</td>
<td>Percentage</td>
<td>80.00</td>
<td>78.00</td>
<td>86.00</td>
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<td>ante-natal check-ups</td>
<td>Date</td>
<td>15-Jun-2012</td>
<td>31-Dec-2013</td>
<td>22-Aug-2016</td>
<td></td>
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<td></td>
<td>Comments</td>
<td>DLI</td>
<td>The system SISP</td>
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<td>PRENATAL, which</td>
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<td>monitors this</td>
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<td>indicator was</td>
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<td>changed, and it</td>
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<td>is</td>
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<tr>
<td>Percentage Increase in the Number of ICU beds in the State of Paraná</td>
<td>Percentage</td>
<td>Value</td>
<td>Date</td>
<td>Comments</td>
<td></td>
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<tr>
<td>0.00</td>
<td>15-Jun-2012</td>
<td>15.70</td>
<td>31-Dec-2013</td>
<td>This implies an increase from 1,208 beds to 1,398 beds.</td>
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<tr>
<td>29.00</td>
<td>22-Aug-2016</td>
<td>1,558 ICU beds.</td>
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<td>Modernization of the Borrower’s executive branch’s payroll management</td>
<td>Text</td>
<td>Value</td>
<td>Date</td>
<td>Comments</td>
<td></td>
</tr>
<tr>
<td>Weak controls over personnel expenditures negatively affect efficiency</td>
<td>15-Jun-2012</td>
<td>The payroll audit has been carried out with state resources.</td>
<td>31-Dec-2013</td>
<td>Implementation of an automated payroll and decentralized for the health sector</td>
<td></td>
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<tr>
<td></td>
<td>22-Aug-2016</td>
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<tr>
<td>Strengthening of Internal Control Systems</td>
<td>Text</td>
<td>Value</td>
<td>Date</td>
<td>Comments</td>
<td></td>
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<tr>
<td>Internal Control Unit recently created and lacking formal methods and procedures</td>
<td>15-Jun-2012</td>
<td>No advancements</td>
<td>31-Dec-2013</td>
<td>Internal Auditors trained in new methods and procedures for internal control</td>
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<td></td>
<td>22-Aug-2016</td>
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<tr>
<td>Strengthening State Capacity for Evidence-based Policy-making</td>
<td>Text</td>
<td>Value</td>
<td>Date</td>
<td>Comments</td>
<td></td>
</tr>
<tr>
<td>Weak capacity to monitor and evaluate public policies</td>
<td>31-Dec-2013</td>
<td>Logframes have been prepared for all government programs and potential impact evaluation proposals are being discussed</td>
<td>22-Aug-2016</td>
<td></td>
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<tr>
<td></td>
<td></td>
<td>Final impact evaluation reports completed &amp; disseminated in a manner acceptable to the Bank</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Improving attraction and retention of personnel and strengthening center-of-government institutions</td>
<td>Text</td>
<td>Value</td>
<td>Date</td>
<td>Comments</td>
<td></td>
</tr>
<tr>
<td>Weak center of govt. institutions, low attraction and retention of skilled personnel</td>
<td>15-Jun-2012</td>
<td>No progress.</td>
<td>31-Dec-2013</td>
<td>Submission to Legislative Assembly of draft bills for the creation of the Public Policy Analyst career and the modification of the QPPE (Executive Branch Career)</td>
<td></td>
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<tr>
<td></td>
<td>22-Aug-2016</td>
<td></td>
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<tr>
<td>Description</td>
<td>Comments</td>
<td>Value</td>
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<td>----------------------------------------------------------------------------</td>
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<td>----------------------------------------------------------------------</td>
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<td>----------------------------------------------------------------------</td>
</tr>
<tr>
<td>Revision of tax management processes</td>
<td></td>
<td>Lack of enforcement in tax arrears collection and adequate resources to administer ITCMD and IPVA receipts</td>
<td></td>
<td></td>
<td>ToRs have been prepared. Progress Report on Action Plan acceptable to the Bank</td>
</tr>
<tr>
<td>Establishment of State Disaster Risk Management (DRM) Policy Framework</td>
<td></td>
<td>Publication of State Decree establishing the DRM working group</td>
<td></td>
<td></td>
<td>State Decree for the establishment of a work group on DRM was published. State Law establishing the State DRM Policy Framework published in the Borrower’s Diario Oficial</td>
</tr>
<tr>
<td>Number of Municipalities with a Decentralized Environmental Licensing and Monitoring System</td>
<td>Number</td>
<td>0.00</td>
<td></td>
<td></td>
<td>State Decree for the establishment of a work group on DRM was published. State Law establishing the State DRM Policy Framework published in the Borrower’s Diario Oficial</td>
</tr>
<tr>
<td>Improved Management of Physical Infrastructures is schools for SEED</td>
<td></td>
<td>The management of physical infrastructure in the education sector is inadequate</td>
<td></td>
<td></td>
<td>The government will establish a joint management system for physical infrastructure for all Secretariats Monitoring System for Physical Infrastructures is operational (implemented in SEED and the NREs</td>
</tr>
<tr>
<td>Capacity strengthening of SEED to evaluate teacher training programs.</td>
<td></td>
<td>The capacity for teacher training evaluation is inadequate</td>
<td></td>
<td></td>
<td>Draft ToR have been shared with the Bank Report with recommendations of improvements in EDP as a result from the evaluation.</td>
</tr>
<tr>
<td>Strengthening M&amp;E System for the Infant and Maternal Health Care Network and the Emergency Health Care Network</td>
<td>Text</td>
<td>The M&amp;E System for the Infant and Maternal Health Care Network and the Emergency Health Care Network is insufficient</td>
<td></td>
<td></td>
<td>Guidance document on the M&amp;E elaborated and an Executive Committee for the North-East Region was established Carry out a second patient satisfaction survey</td>
</tr>
</tbody>
</table>

Data on Financial Performance (as of 24-Mar-2014)
Financial Agreement(s) Key Dates

<table>
<thead>
<tr>
<th>Project</th>
<th>Ln/Cr/Tf</th>
<th>Status</th>
<th>Approval Date</th>
<th>Signing Date</th>
<th>Effectiveness Date</th>
<th>Original Closing Date</th>
<th>Revised Closing Date</th>
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</table>

Disbursements (in Millions)

<table>
<thead>
<tr>
<th>Project</th>
<th>Ln/Cr/Tf</th>
<th>Status</th>
<th>Currency</th>
<th>Original</th>
<th>Revised</th>
<th>Cancelled</th>
<th>Disbursed</th>
<th>Undisbursed</th>
<th>% Disbursed</th>
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<tr>
<td>P126343</td>
<td>IBRD-82010</td>
<td>Effective</td>
<td>USD</td>
<td>350.00</td>
<td>350.00</td>
<td>0.00</td>
<td>50.88</td>
<td>299.13</td>
<td>15.00</td>
</tr>
</tbody>
</table>

Key Decisions Regarding Implementation

N/A

Restructuring History

There has been no restructuring to date.

Related Projects

There are no related projects.