Public Disclosure Authorized

REPORT NO.: RES36534

RESTRUCTURING PAPER

ON A

PROPOSED PROJECT RESTRUCTURING

OF

STRENGTHENING DRR COORDINATION, PLANNING AND POLICY ADVISORY CAPACITY OF ECOWAS

APPROVED ON JUNE 16, 2016

TO

ECOWAS COMMISSION

Public Disclosure Authorized

SOCIAL, URBAN, RURAL AND RESILIENCE GLOBAL PRACTICE

AFRICA

Regional Vice President:	Hafez M. H. Ghanem
Country Director:	Deborah L. Wetzel
Practice Manager/Manager:	Meskerem Brhane
Task Team Leader:	Prashant Singh

ABBREVIATIONS AND ACRONYMS

ACMAD	African Center for Meteorological Applications for Development
AGRHYMET	Permanent Interstate Committee for Drought Control in the Sahel
DRM	Disaster Risk Management
DRR	Disaster Risk Reduction
ECOWAS	Economic Community of West African States
ICPAC	IGAD Climate Predictions and Applications Centre
LCBC	Lake Chad Basin Commission
NBA	Niger Basin Authority
OMVS	Senegal River Basin Development Organization
PDNA	Post-Disaster Needs Assessment
PDO	Project Development Objective
PIU	Project Implementation Unit
US$ / USD	US Dollars
VBA	Volta Basin Authority
WASCAL	West African Science Service Center on Climate Change and Adapted Land Use
WMO	World Meteorological Organization

Note to Task Teams: The following sections are system generated and can only be edited online in the Portal.

BASIC DATA

Product Information

Project ID	Financing Instrument
	Investment Project Financing
Original EA Category	Current EA Category
Approval Date	Current Closing Date
16-Jun-2016	31-Dec-2019

Organizations

Borrower	Responsible Agency
ECOWAS Commission	ECOWAS Commission, Humanitarian Affairs Directorate

Financing (in USD Million)

SUMMARY

Total Project Cost	0
Total Financing	0
Financing Gap	0

DETAILS

Project Development Objective (PDO)

Original PDO

The development objective of the project is to strengthen the disaster risk reduction collaboration, coordination, planning, policyadvisory and knowledge dissemination capacity of ECOWAS to better serve its member states.

Summary Status of Financing

TF	Approval	Signing	Effectiveness	Closing	Net Commitment	Disbursed	Undisbursed
TF-A2770	16-Jun-2016	08-Jul-2016	08-Jul-2016	31-Dec-2019	1.29	1.25	.03

Policy Waiver(s)

Does this restructuring trigger the need for any policy waiver(s)?

No

I. PROJECT STATUS AND RATIONALE FOR RESTRUCTURING

A. Current Status

The project was approved on June 18, 2016 and became effective on July 8, 2016 with the amount of US$ 1.285 million as a first phase of a total grant of US$ 2.5 million. Following a slow start in 2016 and 2017, due to the lengthy recruitment of project staff (consultants) to fully employ the project implementation unit (PIU), and in addition to the limited familiarity of ECOWAS with World Bank procedures, the project's implementation started to improve and show results in 2018 and 2019. A series of activities in support of the member states on flood management and recovery planning have been planned out by ECOWAS and are successively implemented. As of today, 95% of the total phase I funding has been disbursed to the designated account.

Overall, the progress made under the four components are satisfactory to the Bank project team. The following is a summary of more detailed current status for each of the components:

❑ Component 1: Strengthen DRM policies and institutions at national and regional level (Before restructuring: US$270,000; After restructuring: US$1,170,000).

1) Achievements: The project supported ECOWAS with the consultations and adoption of the ECOWAS DRR Plan of Action 2015-2030 in October 2016. This plan paid particular attention to the integration of gender issues. With a DRR governance and policy expert on board since February 2018, the project has rolled out policy advisory and capacity

building support to member states. The Gambia, Cabo Verde, Guinea, Niger, Mali, Côte d'Ivoire and Togo were supported with different member state led initiatives.

2) Planned activities; ECOWAS plans to roll out continued support to the remaining Member States (Guinea-Bissau, Nigeria, Ghana, Senegal, Cabo Verde, Sierra Leone and Benin) in capacity building and institutional strengthening for disaster risk management through regional DRR workshops; policies and strategies works and establishment of institutional framework for national disaster management agencies in selected ECOWAS Member States. This component will also support ECOWAS and member states in rolling out actions on gender mainstreaming and disaster risk reduction at regional and member state level.

❑ Component 2: Strengthen regional flood management capacity (before restructuring: US$520,000; after restructuring US$700,000).

---

1) Achievements: The project supported the formulation of a draft flood management strategy for the ECOWAS countries and a number of consultations with this regard with member states. This strategy is based on an analysis of polices related to flood management at regional and national level and a mapping of hotspots of flood affected areas in West Africa. A consultative Meeting on this Regional Flood Management Strategy was held in Dakar in April 2019 with participation for 13 member states and approximately 60 participants. The ECOWAS Hydromet Forum and DRR Platform in September 2018 in Abidjan, Côte d'Ivoire created a platform for more than 230 participants from West Africa and global partners to discuss status, challenges and opportunities of the hydromet and early warning services in the region.
2) Planned activities: The component will continue to support the consultations and formulation of a harmonized policy framework for flood management in West Africa, develop a tool for flood forecasting and warning at the regional level and provide training to member state experts and facilitate consultations on the status of hydromet services in the region. Activities will furthermore support the ECOWAS to better coordinate with four main river basin organizations in West Africa (OMVS, VBA, NBA and LCBC) as well as AGRHYMET and WMO on issues of flood management and hydromet services.

❑ Component 3: Enhance regional post disaster recovery planning (before restructuring: US$325,000; after restructuring: US$200,000)..

---

1) Achievements: The project supported the regional disaster preparedness Forum in 2017 in Monrovia, Liberia bring together humanitarian partners to discuss the seasonal preparedness. PDNA trainings were conducted at national levels for ECOWAS and experts from its member states in the Gambia and Cabo Verde.
2) Planned activities: Support the Recipient to effectively manage post disaster needs assessments and recovery planning, investing in capacity building, building regional guidance notes on recovery planning, and assisting ECOWAS Member States in establishing baseline data for economic impact assessments and recovery planning. The project provides comprehensive support to ECOWAS for better managing post disaster needs assessments and recovery planning, investing in capacity building, building regional (ECOWAS) guidance notes on recovery planning and rehabilitation.

❑ Component 4: Capacity strengthening and coordination (before restructuring: US$170,000; after restructuring $430,000).

---

1) Achievements: The project supported the establishment of a technically strong Project Implementation Unit (PIU), renovations of office space and creation of collaboration and team working space. In addition, ECOWAS was able to participate in several regional and global events, such as the Africa and Global DRR Platforms.
2) Planned activities: Support capacity building and Project management and coordination activities through the provision of goods, technical advisory services, Training and Workshops, and Operating Costs for the PIU. This component will include the general administrative costs of the Project Implementation Unit, staffing (program assistant), communication costs and equipment. In order to ensure sustainability of the PIU, performance evaluation of the key PIU staff will be done regularly. In addition to the operational costs of the PIU, this component will also include global regional disaster risk reduction and climate change policy dialogue, with attendance to regional conferences and meetings by representing ECOWAS DRR activities. Furthermore, this component will improve cooperation and networking between Regional Technical Agencies and other DRM stakeholders (ACMAD, AGRHYMET, WASCAL, WMO, ICPAC, Basin Authorities etc.

B. Rationale for Restructuring

The main objective of this restructuring is to scale up phase I activities as stated in the originally designed PDOs. The Project (phase I) original completion's date was June 30, 2019 but was extended until December 31, 2019 . In addition, ECOWAS requested the second tranche of grant funding for US$2.5 million. The project team has reviewed the status and work program for the coming months prepared by ECOWAS and agrees with the request for tranche 2, as well as a new request for an extension until June 2020. The project development objectives and components of the project will remain the same, but the amounts allocated to components and related timelines for implementation will need to be adjusted. The extension request with updated budget and timelines builds on the lessons learned from the implementation of the grant since June 2016. In addition, the project FM Specialist has confirmed that there are no outstanding audit issues on the project. The detailed rationale is as follows:

- There are strong expectations from member states of ECOWAS to provide hands on support on policy formulation, national and regional coordination related to prevention, response and recovery, and capacity building and support for the operation of the national disaster management organizations. Activities to strengthen the support to member states have therefore been substantially increased in the additional financing, which will cover all ECOWAS member states.
- ECOWAS has been satisfied with ongoing support for developing a regional flood management strategy member states have asked for increased coordination with other regional organizations, notably CILSS/AGRHYMET, ACMAD and the river basin organizations. In addition, analytical work to strengthen the ECOWAS Hydromet Initiative has been satisfactory.
- Capacity building for post-disaster recovery planning remains a priority for ECOWAS and its member states. Going forward, support will focus on developing a regional guidance note on conducting post- disaster needs assessments and aligning support closer to the project governance and policy support to member states.

II. DESCRIPTION OF PROPOSED CHANGES

This proposed Restructuring includes 1) the Additional Financing with amount of US$1,215,000 to scale up the activities under the original financing; and 2) a 5-month of extension of the closing date (which will bring the cumulative extension period to 11 months from the original closing date). The above changes do not require any changes to the Project PDOs, geographic scope of the project or safeguards policies triggered. The changes required by the above AF is listed below:

- Notably, the project will have a stronger focus on policy guidance and support to ECOWAS member states as well as to strengthening flood management in the ECOWAS region. The budget for Component 1 will increase compared to phase 1 and will include targeted and needs based support to each member state in the range of US$ 30,000 to US$ 50,000 for member states (8 countries have been covered already under Phase I financing). Under this component, there will be additional activities related to mainstreaming gender, which will provide a synthesis of the current state of gender mainstreaming as a background for the development of a Gender Strategy and Action Plan (GSAP);
- Under Component 2, the budget has been increased by US$ 180,000 which will cover the collaboration with AGRHYMET as regional climate center for West Africa and the Sahel. The current project implementation arrangements will continue with a PIU of three staff (consultants and project support staff) managing the project implementation and procurement and financial management support from ECOWAS Secretariat staff.
- Under Component 3, the budget has been decreased to $200,000, as ECOWAS is planning PDNA DRF training to only 2 member-states, due to the short amount of time left for the implementation of the program. These trainings are currently planned for Quarter 4 of 2019.
- Under component 4, additional resources for project management and coordination at the regional and global level are provided as an overview to the detailed changes to the components and their budget is provided below.

Project Components	Project cost Phase 1 (in US$)	Changes compared to phase 1	Project cost Phase 1 and Phase 2 (in US$)	Grant Financing (in US$)	% Financing
1. Component 1	270,000	900,000	1,170,000	1,170,000	100
2. Component 2	520,000	180,000	700,000	700,000	100
3. Component 3	325,000	-125,000	200,000	200,000	100
4. Component 4	170,000	230,000	430,000	430,000	100
Total project costs	1,285,000	1,215,000	2,500,000	2,500,000	100
Total financing Required	1,285,000	1,215,000	2,500,000	2,500,000	

I. SUMMARY OF CHANGES

	Changed	Not Changed
Results Framework	✓	
Components and Cost	✓	
Loan Closing Date(s)	✓	
Additional Financing Proposed	✓	
Disbursement Estimates	✓	
Implementation Schedule	✓	
Implementing Agency		✓
Project's Development Objectives		✓
Cancellations Proposed		✓
Reallocation between Disbursement Categories		✓
Disbursements Arrangements		✓
Change in Overall Risk Rating		✓
Safeguard Policies Triggered		✓
EA category		✓
Legal Covenants		✓
Institutional Arrangements		✓
Financial Management		✓
Procurement		✓
Other Change(s)		✓

IV. DETAILED CHANGE(S)

RESULTS FRAMEWORK

Project Development Objective Indicators

Capacity of ECOWAS and Member States is strengthened to effectively collaborate in preparation and response to floods in West Africa. (ECOWAS has formulated a regional flood management strategy. (Yes/
Unit of Measure: Yes/No
Indicator Type: Custom

	Baseline	Actual (Current)	End Target	Action
Value	No	Yes	Yes	Revised
Date	15-Feb-2016	29-Mar-2019	31-May-2020	

Capacity of ECOWAS and member states for disaster risk reduction policies, flood management and hydromet services has increased. (Number of people participating in training events organized by ECOWAS
Unit of Measure: Number
Indicator Type: Custom

	Baseline	Actual (Current)	End Target	Action
Value	0.00	800.00	1200.00	Revised
Date	15-Feb-2016	30-Jun-2019	31-May-2020	

Intermediate Indicators

Percentage of ECOWAS DRR Plan of Action indicators that are gender informed
Unit of Measure: Percentage
Indicator Type: Custom

	Baseline	Actual (Current)	End Target	Action
Value	0.00	30.00	30.00	Revised
Date	15-Feb-2016	30-Jun-2019	31-May-2020	

Number of member states supported with DRR advisory and capacity building support (Number of member states supported)
Unit of Measure: Number
Indicator Type: Custom

	Baseline	Actual (Current)	End Target	Action
Value	0.00	6.00	15.00	Revised
Date	15-Feb-2016	30-Jun-2019	31-May-2020	

Regional strategy on flood monitoring and management formulated and adopted (Yes/No)
Unit of Measure: Yes/No
Indicator Type: Custom

	Baseline	Actual (Current)	End Target	Action
Value	No	No	Yes	Revised
Date	15-Feb-2016	30-Jun-2019	31-May-2020	

Report on trans-boundary impacts of floods in West Africa published; (Yes/No)
Unit of Measure: Yes/No
Indicator Type: Custom

	Baseline	Actual (Current)	End Target	Action
Value	No	No	Yes	Revised
Date	15-Feb-2016	30-Jun-2019	31-May-2020	

Database with available information for flood forecasting available
Unit of Measure: Yes/No
Indicator Type: Custom

	Baseline	Actual (Current)	End Target	Action
Value	No	No	Yes	Revised
Date	15-Feb-2016	30-Jun-2019	31-May-2020	

ECOWAS strategy for reconstruction and recovery planning formulated and adopted. (Yes/No)
Unit of Measure: Yes/No
Indicator Type: Custom

	Baseline	Actual (Current)	End Target	Action
Value	No	No	Yes	Revised
Date	15-Feb-2016	30-Jun-2019	31-May-2020	

Number of regional PDNA / recovery and reconstruction trainings conducted (Number of trainings conducted)
Unit of Measure: Number
Indicator Type: Custom

	Baseline	Actual (Current)	End Target	Action
Value	0.00	4.00	4.00	Revised
Date	15-Feb-2016	30-Jun-2019	31-May-2020	

Number of people trained in PDNA methodology to become member of ECOWAS expert roster, gender disaggregated
Unit of Measure: Number
Indicator Type: Custom

	Baseline	Actual (Current)	End Target	Action
Value	0.00	100.00	200.00	Revised
Date	15-Feb-2016	30-Jun-2019	31-May-2020	

Number of additional DRM experts hired by ECOWAS Commission
Unit of Measure: Number
Indicator Type: Custom

	Baseline	Actual (Current)	End Target	Action
Value	0.00	2.00	2.00	Revised
Date	15-Feb-2016	30-Jun-2019	31-May-2020	

COMPONENTS

Current Component Name	Current Cost (US$M)	Action	Proposed Component Name	Proposed Cost (US$M)
Component 1: Strengthen DRM policies and institutions at national and regional level.	0.27	Revised	Component 1: Strengthen DRM policies and institutions at national and regional level.	1.17
Component 2: Strengthen regional flood management capacity.	0.52	Revised	Component 2: Strengthen regional flood management capacity.	0.70
Component 3: Enhance regional post disaster recovery planning.	0.33	Revised	Component 3: Enhance regional post disaster recovery planning.	0.20
Component 4: Capacity strengthening and coordination.	0.17	Revised	Component 4: Capacity strengthening and coordination.	0.43
TOTAL	**1.29**			**2.50**

LOAN CLOSING DATE(S)

TF	Status	Original Closing	Revised Closing(s)	Proposed Closing	Proposed Deadline for Withdrawal Applications
TF-A2770	Effective	30-Jun-2019	31-Dec-2019	31-May-2020	30-Sep-2020

ADDITIONAL FINANCING

Source	Currency	Amount	USD Equivalent
Global Facility for Disaster Reduction and Recovery(GFDR)	USD	1,215,000.00	1,215,000.00
		Existing Net Commitment USD Amount	1,290,000.00
		Total	**2,505,000.00**

DISBURSEMENT ESTIMATES

Change in Disbursement Estimates
Yes

Year	Current	Proposed
2016	0.00	0.00
2017	0.00	250,000.00
2018	0.00	750,000.00
2019	0.00	1,000,000.00
2020	0.00	500,000.00

Note to Task Teams: End of system generated content, document is editable from here.