

Rajasthan Agriculture competitiveness Project

Government of Rajasthan

Procurement Plan

18 months

(From May 2016 to December 2017)

I. **General**

1. **Project information**

Country : **India**

Borrower : **Government of India**

Project Name : **Rajasthan Agriculture competitiveness Project**

Project Identification No (ID) : P124614

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Applicable Bank Guideline :

- a) **For Goods ,Works and Non-consulting Services** : "Guidelines: Procurement of Goods, Works and Non-Consulting Services under IBRD Loans and IDA Credits & Grants by World Bank Borrowers" dated January 2011 (Procurement Guidelines) and revised July 2014; and
- b) **For Consultancy Services** : "Guidelines: Selection and Employment of Consultants under IBRD Loans and IDA Credits & Grants by World Bank Borrowers" dated January 2011 (Consultant Guidelines) and revised July 2014;

II. Goods, Works and Non-Consulting Services

Category	Method of Procurement	Threshold (US\$ Equivalent)*	Prior review threshold
Goods and Non-consulting services	International competitive Bidding	Contracts more than the equivalent of US\$ 3 million per contract	All contracts equal to or greater than US 2 million equivalent;
	Limited International Bidding	As per para 3.2 of the Guideline .All Contracts more than the equivalent of US \$ 100,000 per contract	
	National competitive Bidding	Contracts more than the equivalent of US\$ 100,000 but less than the equivalent of US\$ 3 million per contract (with NCB conditions)	
	Shopping **	Contracts equivalent of US\$ 100,000 or less per contract.	
	Direct Contracting	Proprietary software; educational journals and other procurement justified as per para 3.7 of Guidelines and estimated to cost equivalent of US\$ 10,000 or less per contract	
	Force Account	As per para 3.9 of Guidelines	
	Community participation#	As per guideline para 3.19 of the guideline	
Works	Framework Agreements@	As per para 3.6 of Guidelines	all contracts equal to or greater than US\$10 million equivalent
	International Competitive Bidding	Civil works more or equivalent of US\$40,000,000 per contract	
	National competitive Bidding	Civil works more than \$10000 and Up to \$40,000,000 per contract (with NCB conditions)	
	Shopping**	Civil works Up to \$100,000 per contract	
	Direct contracting	As per para 3.7 of Guidelines. All Civil Works estimated to cost equivalent of US\$ 10,000 or less	
	Community participation#	As per guideline para 3.19 of the guideline	
Consultant s' Services	Force Account	As per para 3.9 of Guidelines	All contracts equal to or greater than US\$1 Million
	Consultant Qualification /Least cost selection	Contracts Up to \$300,000 or less per contract	

Category	Method of Procurement	Threshold (US\$ Equivalent)*	Prior review threshold
	Single source selection	As per para 3.9-3.11 of Guidelines and less than or equal to \$10000	equivalent for firms; and equal to or greater than US\$300,000 equivalent for individuals
	Individual Contracts	As per Section V of Guidelines	
	Quality and cost Based selection /Quality Based Selection /Fixed Based Selection	for All other Contracts	
	(i) International shortlist	>800,000	
	(ii) Shortlist may comprise national consultants only	Up to 800,000	

*** If a transaction comprises several contracts, lots or slices, the aggregate estimated value of all contracts, lots or slices will determine the applicable threshold amount#**

***State Rate Contracts cannot be used at par with Shopping. If state rate contract exists for an item, the same can be considered as one of the 3 quotations to be sought under shopping procedures. However DGS&D rate contracts are acceptable under any procurement under the Shopping procedure**

.#Community Procurement would be applicable to activities under learning/training pilots It would be based on World Bank “Guidance Note For Management of Procurement Responsibilities In Community-Driven Development Projects dated December 2009 and as included in the Procurement manual.

@Use of DGS&D rate contracts under Framework Agreement (FA) method can be used, provided that:

- Use of DGS&D rate contracts as FA must be reflected on the procurement plan agreed by the Bank for particular goods.
- Before issuing the purchasing order, the borrower carries-out a price analysis on the specific good that is intended to be purchased. If after this due diligence the borrower concludes (and Bank agrees) that the DGS&D rate contract is not suitable, then the borrower will have to proceed using NCB or shopping depending on the value.
- To meet the Bank’s requirements for right to audit and F&C, these clauses may be included in the Purchase Orders (in case the purchasers are directly placing the purchase orders to DGS&D rate contract holders). On the other hand, if indent is placed through DGS&D, the Purchaser has the option to sign a separate undertaking with DGS&D rate contract holder, where Bank’s right to audit and F&C clauses could be mentioned.

2. Pre-qualification: Not Applicable

3. **Reference to Project Procurement Manual:** A Procurement Manual based on World Bank Guidelines "Procurement of Goods, Works, and Non-Consulting Services under IBRD Loans and IDA Credits & Grants by World Bank Borrowers, January 2011, Revised July 2014" and "Selection and Employment of Consultants under IBRD Loans and IDA Credits and Grants by World Bank Borrowers" has been prepared. All procurements in the project will be governed by the procedures described in the Manual. In case of any inconsistency between the Procurement Manual and the World Bank Guidelines 2011, Revised July 2014, the provisions of the Guidelines shall prevail.

4. Any Other Special Procurement Arrangements (Goods & Works) :

- A. Contracts procured in advance will be financed under retroactive financing within the specified limits, as agreed to in the Credit Agreement provided they are procured in accordance with agreed processes and procedures for the project and have been cleared as part of procurement plan.
- B. National Competitive Bidding (NCB) method for procurement of goods, works and non-consulting services as per the above value thresholds will be conducted in accordance with paragraph 3.3 and 3.4 of the World Bank Procurement Guidelines and the following provisions:
- (i) Only the model bidding documents for NCB agreed with the GOI Task Force (and as amended for time to time), shall be used for bidding;
 - (ii) Invitations to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access) along with an abridged version of the said advertisement published in a widely circulated national daily inter-alia giving the website/electronic portal details from which the details of the invitation to bid can be downloaded), at least 30 days prior to the deadline for the submission of bids;
 - (iii) No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprises from any given State.
 - (iv) Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder;
 - (v) Extension of bid validity shall not be allowed with reference to contracts subject to prior review without the prior concurrence of the World Bank (i) for the first request for extension if it is longer than four weeks; and (ii) for prior approved packages, all subsequent requests for extension irrespective of the period. (Such concurrence will be considered by the Bank only in cases of Force Majeure and circumstances beyond the control of the Purchaser/Employer);
 - (vi) Re-bidding shall not be carried out with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank.
 - (vii) The system of rejecting bids outside a pre-determined margin or "bracket" of prices shall not be used in the project.
 - (viii) Rate contracts entered into by Directorate General of Supplies and Disposals will not be acceptable as a substitute for NCB procedures **unless agreed with the Bank on case to case basis**. Such contracts will be acceptable however for any procurement under the Shopping procedures.
 - (ix) Two or three envelop system will not be used (except when using e-Procurement system assessed and agreed by the Bank).
 - (x) As per 1.1(e) of World Bank Procurement Guidelines, the Association's right to inspect accounts and records of the bidders, suppliers and contractors will be included in the bidding document.

III) Selection of Consultants

1. Methods and Value thresholds for Consultancy Services

Consulting Services	Methods	Value Threshold*	Review Arrangements
Consulting Services (Firms)	i) Quality and Cost Based Selection (QCBS) ii) Quality Based Selection (QBS) iii) Selection based on a Fixed Budget (FBS) iv) Selection Based on Least Cost Basis (LCS)		All contracts valued above US\$ 1 Million will be subject to Prior Review by the World Bank. All other contracts will be subject to post review.
	v) (Selection based on Consultant's Qualification (CQS)	Contracts estimated to cost equivalent of US\$ 300,000 or less per contract.	
	vi) Single Source Selection (SSS)# provided the conditions stipulated in paragraph 3.8 to 3.11 of Consultant Guidelines are met		Procurement is in accordance with para 3.9-3.11 of the Bank guidelines.
Individual Consultants	i) Competitive Selection		All other contracts subject to post review provided procurement is in accordance with Section V of the Bank guidelines.
	ii) Single Source Selection (SSS)#		All contracts equal to or above US\$ 300,000 shall be subject to prior review. All procurement to be in accordance with Section V of the Bank guidelines.

* If a transaction comprises several packages, lots or slices, the aggregate estimated value of contracts will determine the applicable threshold amount.

Irrespective of contract value any hiring of Government or Research Institutes of unique and exceptional nature requires prior no objection of the World Bank on case to case basis.

2. Short list comprising entirely of national consultants: Short list of consultants for services, estimated to cost less than US \$800,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of Para 2.7 the World Bank Consultant Guidelines.

3. Any Other Special Selection Arrangements (Consulting Services) :

- (a) Contracts procured in advance will be financed under retroactive financing within the specified limits, as agreed to in the Credit Agreement provided procurement is in accordance with agreed processes and procedures for the project.
- (b) Requests for Expression of Interest (REOI) for assignments expected to cost more than US\$ 300,000 shall be advertised in *UNDB online* in accordance with Para 2.5 of the Consultant Guidelines.

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CONSULTING FIRMS																														
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion				
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned

INDIVIDUAL CONSULTANTS																													
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion											
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual										